



The best-in-class unit holder record-keeping solution for financial institutions to administer a diverse portfolio of wealth products

Unitrax has been designed specifically to work with the intricacies of the Canadian investment fund industry. This unit holder record-keeping solution provides fund manufacturers and carriers with best-in-class administrative services, transfer agency capabilities, and investor record-keeping flexibility. It enables asset managers and insurance organizations to maintain their product shelf, client records, and regulatory and legislative obligations, while lowering operational costs and improving efficiency. Unitrax is a Canadian-hosted Software-as-a-Service (SaaS) platform, allowing you to access and use the software without investing capital – either in hardware and maintenance support, or licensing fees for operating systems and database software.

Operate your business remotely across multiple locations by accessing the system via the internet, or through a dedicated communications line. Administering millions of dollars in assets for many of the largest banks, insurance wealth carriers, and asset managers in Canada, Unitrax is a proven leader that addresses their record-keeping needs across a wide spectrum of products in a single record-keeping platform.

The Unitrax solution participates in the broader wealth ecosystem through our ongoing maintenance and support of the Fundserv standards, as well as federal and provincial-registered product requirements. On the other hand, our comprehensive catalog of APIs, Data-as-a-Service (DaaS) data sets, reports & extracts, support integration to other systems.

Why Unitrax[®]

Unitrax has long been recognized as the industry choice for administering investment products and process high volume financial and non-financial transactions in the day-to-day operations of the Canadian financial services sector. This best-in-class technology enables your business to communicate flawlessly with the investment fund industry, and functions as a powerful data and reporting platform, allowing you to integrate to other systems, and deliver timely data and reports with maximum accuracy.

Here are some key benefits that Unitrax offers:

// Lowered operational costs and improved efficiencies through streamlined automated administrative features.

// Reduced time-to-market and costs for new product launches and updates, leveraging its comprehensive existing functionalities and highly configurable architecture.

// Warranted compliance through adherence to regulatory requirements and industry standards.

// Stability and scalability from the best-in-class infrastructure.

// Minimized administrative support leveraging our suite of support services which include bulk data load, tables maintenance, batch management, output distribution, and automated regression testing.

// Continuous upgrades as we invest into our product ecosystem for the next generation of back-office operations, with integrated solutions for case management workflow, self-service data and analytics, and investor/advisor web portals.

// Innovation focus to drive new solutions that improve straight-through processing and operational efficiency, leveraging a diverse set of technologies, including Intelligent Automation (IA) with RPA, OCR/ICR, Cognitive, and new UX tools.

The Unitrax[®] Advantage

Support of investor types (corporate, estate, individual, joint tenant, etc.), account types (nominee account, client name account), and plan types (TFSA, RRSP, RRIF, LIF, LRSP, LIRA, RDSP and RESP including QESI).

Flexible, table-driven setup of management and advisory fees, commissions, service fees, and deferred sales charges.

Automated processing of systematic plans (pre-authorized cheques, automatic withdrawal deposits and, dollar-cost averaging) with full electronic funds transfer support.

Household mailing functionality to minimize duplicate mailing of marketing material to investors in the same household.

Online address and postal code validation against Canada postal code database.

Comprehensive and flexible user entitlement and security structure that can be tailored to meet customized operational needs.

Reports and extracts to assist with client identification and anti-money laundering compliance regulations.

Maintains compliance with the regulations of governing bodies and legislations such as CRA, MRQ, FATCA, OECD CRS, MFDA/AMF, IIROC, OSFI, CCIR, Conforms to Fundserv's industry standards.

Broad range of comprehensive operations and client reporting capabilities available with or without automation.

Highly scalable, industry-leading infrastructure located in state-of-the-art secure data centers in Canada.

Leverages LTIMindtree Canada's disaster-recovery program, adding further protection against an intra-day data loss through our high availability option.

Designs innovative wealth products with the support of a team who understands the industry, on a solution with a rich suite of product features and compensation models.



Enhance employee experience, optimize and digitize business workflows, and enable self-service capabilities to drive organizational productivity.

Contact

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Headquartered in Mississauga, Ontario, LTIMindtree Financial Services Technologies Inc. (“LTIMindtree Canada”) is a wholly owned subsidiary of Larsen & Toubro Infotech, a leading global technology consulting and digital solutions company.

With over two decades of rich experience, LTIMindtree Canada with its flagship product – Unitrax® – a SaaS-based transfer agency record-keeping suite, is one of the leading wealth and asset management platform in Canada, with Assets Under Administration in excess of CAD \$1Tril+, enabling fund manufacturers and insurance providers to address their record-keeping needs across the product spectrum such as Mutual Funds, GICs, Hedge Funds, Alternative Investments, Institutional Funds and Insurance Wealth Products – all under one platform.

LTIMindtree Canada has a deep understanding of disruptive technologies, and partners with top Canadian financial services firms to accelerate digital journeys of its clients through end-to-end consulting and implementation solutions, Smart Automation and Advanced Data Analytics, Cloud Infra & Security, Assurance Services and Business Process Management. For more information, please visit <https://www.ltimindtree.com/canada/>